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## **CPMD-mindful task assignment for NPS-F**

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### Abstract

The multiprocessor scheduling scheme NPS-F for sporadic tasks has a high utilisation bound and an overall number of preemptions bounded at design time. NPS-F bin-packs tasks offline to as many servers as needed. At runtime, the scheduler ensures that each server is mapped to at most one of the  $m$  processors, at any instant. When scheduled, servers use EDF to select which of their tasks to run. Yet, unlike the overall number of preemptions, the migrations per se are not tightly bounded. Moreover, we cannot know a priori which task a server will be currently executing at the instant when it migrates. This uncertainty complicates the estimation of cache-related preemption and migration costs (CPMD), potentially resulting in their overestimation. Therefore, to simplify the CPMD estimation, we propose an amended bin-packing scheme for NPS-F allowing us (i) to identify at design time, which task migrates at which instant and (ii) bound a priori the number of migrating tasks, while preserving the utilisation bound of NPS-F.

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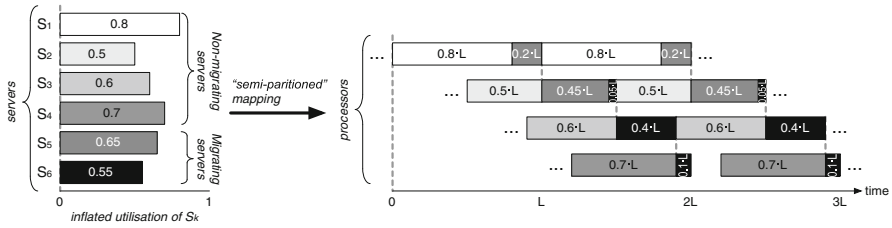
**Keywords** Real-time scheduling · Multiprocessor · Semi-partitioned · NPS-F · Bin packing · CPMD estimation

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**Fig. 1** Server schedule with the semi-partitioned mapping (with  $L \stackrel{\text{def}}{=} \frac{\min_i \{T_i\}}{\delta}$ )

### 1 Introduction and motivation

The multiprocessor scheduling scheme NPS-F [Bletsas and Andersson \(2011\)](#) for sporadic tasks has a high utilisation bound and an overall number of preemptions<sup>1</sup> bounded at design time. NPS-F bin-packs tasks *offline* to as many servers as needed. *At runtime*, the scheduler ensures that each server is mapped to at most one of the  $m$  processors, at any instant. When scheduled, servers use EDF to select which of their tasks to run.

Yet, unlike the overall number of preemptions, the migrations *per se* are not tightly bounded. Moreover, we cannot know *a priori* which task a server will be currently executing at the instant when it migrates. This uncertainty complicates the estimation of cache-related preemption and migration costs (CPMD), potentially resulting in their overestimation [Bastoni and Brandenburg \(2011\)](#). Therefore, to simplify the CPMD estimation, we propose an amended bin-packing scheme for NPS-F allowing us (i) to identify *at design time*, which task migrates at which instant and (ii) bound *a priori* the number of migrating tasks, while preserving the utilisation bound of NPS-F. The proposed solution is based on the fact that CPMD estimation is simplified if the migrating server serves only one task. This paper assumes the so-called *semi-partitioned mapping* of servers to processors (see Fig. 1). Namely,  $m$  servers use just one (respective) processor each, while the rest migrate.

### 2 A CPMD-mindful task assignment

NPS-F packs tasks into unit-capacity bins, corresponding to servers. Let us categorize those bins as either *migrating* or *non-migrating*. There are at most  $m$  non-migrating bins. A non-migrating bin is denoted by  $N_k$  with  $1 \leq k \leq m$ , and a migrating bin is denoted by  $M_\ell$  with  $1 \leq \ell \leq m'' - m$ , where  $m''$  is the total number of bins. Each sporadic implicit deadline task  $\tau_i$  is characterized by an execution time  $C_i$ , a minimum inter-arrival time  $T_i \geq C_i$  and a utilization  $u_i \stackrel{\text{def}}{=} \frac{C_i}{T_i}$ .

As the main contribution of this work, we propose a new family of bin-packing heuristics ensuring that each migrating bin may hold *only one* task. Hence, there are as many migrating bins as migrating tasks. Mapping-wise, the server corresponding to the non-migrating bin  $N_k$  is mapped to processor  $P_k$ , whereas servers corresponding to migrating bins switch between multiple processors (see Fig. 1).

<sup>1</sup> In the overall number of preemptions, we also count the preemptions ending in a migration.

```

1.  ℓ:=0;
2.  for each task τi do //in any order
3.    assigned:=false;
4.    for each server Nk with 1≤k≤m do //non-empty first
                                     //in any order
5.      if (U(Nk)+ui≤1) then
6.        Nk:=Nk∪τi;
7.        assigned:=true;
8.        break;
9.      end if
10.   end for
11.   if (not assigned) then
12.     Mℓ+1:={τi};
13.     ℓ:=ℓ+1;
14.   end if
15. end for
16.
17. discard_empty_bins();
18. m:=number_of_bins();
    
```

**Fig. 2** New family of bin-packing heuristics for NPS-F

The schedulability condition for NPS-F (Eq. (5) in [Bletsas and Andersson \(2011\)](#)) can then be written as

$$\sum_{k=1}^{\min(m,m'')} \frac{(\delta + 1) \times U(N_k)}{U(N_k) + \delta} + \sum_{1 \leq \ell \leq m'' - m} \frac{(\delta + 1) \times U(M_\ell)}{U(M_\ell) + \delta} \leq m \tag{1}$$

where  $U(S)$  is the sum of the utilizations of the component tasks of  $S$  and parameter  $\delta \in N^+$  controls the migration frequency as explained in [Bletsas and Andersson \(2011\)](#) and shown on Fig. 1.

The new bin packing scheme (Fig. 2) proposed for NPS-F works as follows. Tasks are considered in any conceivable order. For each task  $\tau_i$  of utilization  $u_i$ , an attempt is made to assign  $\tau_i$  to any already populated *non-migrating* bin or, if this is not possible, to an empty *non-migrating* bin (lines 4–10). Note that First-Fit, Best-Fit and Worst-Fit are all examples of bin-packing heuristics complying with that definition. If there are already  $m$  populated non-migrating bins and  $\tau_i$  cannot fit in any of them,  $\tau_i$  is added to a new *migrating* bin (lines 11–14).

### 2.1 Utilization bound

To prove that the utilization bound of NPS-F is preserved, we rely on the property (proven as Lemma 1) that the average bin utilization exceeds 0.5. In our reasoning, we assume the bins obtained by application of the algorithm of Fig. 2:

**Property 1** For any non-migrating bin  $N_k$  and migrating bin  $M_\ell$ :  $U(N_k) + U(M_\ell) > 1$ .

*Proof* A task  $\tau_i$  is tagged as migrating if and only if it does not fit in any non-migrating bin, subject to existing assignments. Hence  $U(N_k) + u_i > 1, \forall 1 \leq k < m$  (line 5). Since  $\tau_i$  is then assigned to  $M_\ell$  (line 16), it holds that  $U(M_\ell) = u_i$  and  $U(N_k) + U(M_\ell) > 1$  for all  $k$ , before even assigning any remaining tasks. Applying this argument to every migrating task, we get  $U(N_k) + U(M_\ell) > 1$  for any  $k$  and  $\ell$ .

**Corollary 1** If for the total utilization  $U$  it holds that  $U \leq m$ , then  $m'' < 2m$ .

*Proof* The total utilization  $U$  is equal to the sum of the task utilizations. Thus:

$$U = \sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell)$$

The rest of the proof is by contradiction. Assume that  $m'' \geq 2m$ . Then,

$$U = \sum_{k=1}^m (U(N_k) + U(M_k)) + \sum_{\ell=m+1}^{(m''-m)} U(M_\ell) \geq \sum_{k=1}^m (U(N_k) + U(M_k))$$

and using Property 1 we get  $U > m$ , which contradicts the assumption that  $U \leq m$ .

**Property 2** For any two non-migrating bins  $N_k$  and  $N_\ell$  ( $k \neq \ell$ ):  $U(N_k) + U(N_\ell) > 1$ .

*Proof* The algorithm creates a new non-migrating bin  $N_\ell$  if and only if the current task  $\tau_i$  cannot fit in any of the existing bins. That is,  $U(N_k) + u_i > 1$  for all  $1 \leq k < \ell$  (line 5). Since  $\tau_i$  is then assigned to  $N_\ell$  (line 14), it holds that  $U(N_\ell) = u_i$  and  $U(N_k) + U(N_\ell) > 1$  before even assigning any remaining tasks. Applying this argument to every newly created non-migrating bin, we get  $U(N_k) + U(N_\ell) > 1$  for any two non-migrating bins  $N_k$  and  $N_\ell$  with  $k \neq \ell$ .

**Corollary 2** There is at most one non-migrating bin  $N_k$  with  $U(N_k) \leq 0.5$ .

*Proof* By contradiction, assume that we have two or more non-migrating bins with a utilization smaller than or equal to 0.5. Then, for any two such bins  $N_k$  and  $N_\ell$ , we have  $U(N_k) + U(N_\ell) \leq 1$ , thereby implying a contradiction with Property 2.

**Lemma 1** If  $0.5 < U \leq m$ , then  $\frac{1}{m''} \left( \sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) \right) > \frac{1}{2}$ .

*Proof* By the algorithm of Fig. 2, migrating servers are created only if there are already  $m$  populated non-migrating servers and since by Corollary 1, the total number of servers is smaller than  $2m$ , there cannot be one non-migrating server and two or more migrating ones. Therefore, we analyze the three remaining cases:

1. If there is only one non-migrating and no migrating bin, then  $U(N_1) = U > 0.5$ .
2. If there are at least two non-migrating bins, then from Corollary 2, at most one of those bins has utilization smaller than 0.5. Assume, without loss of generality, that, if it exists, this particular bin is  $N_1$ . Two situations may arise:
  - (a) If there are no migrating bins, then there are  $m''$  non-migrating bins and

$$\sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) = \sum_{k=1}^{m''} U(N_k)$$

From Property 2, we have that  $U(N_1) + U(N_2) > 1$ , thereby implying that

$$\sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) > 1 + \sum_{k=3}^{m''} U(N_k)$$

Because by assumption  $U(N_k) > 0.5$  for all  $k > 1$ , this expression yields

$$\sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) > 1 + (m'' - 2) \times 0.5 = m'' \times 0.5$$

(b) If there is at least one migrating bin, then the algorithm implies that there are  $m$  non-migrating bins. Moreover, we know that  $m'' < 2m$  (Corollary 1). Thus,

$$\sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) = \sum_{p=1}^{m''-m} (U(N_p) + U(M_p)) + \sum_{q=m''-m+1}^m U(N_q)$$

From Property 1, we know that  $U(N_k) + U(M_\ell) > 1 \forall k$  and  $\forall \ell$ , implying that

$$\sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) > (m'' - m) + \sum_{q=m''-m+1}^m U(N_q)$$

and because by assumption  $U(N_q) > 0.5$  for all  $q > 1$ , we have

$$\sum_{k=1}^{\min(m,m'')} U(N_k) + \sum_{1 \leq \ell \leq m''-m} U(M_\ell) > (m'' - m) + (2m - m'') \times \frac{1}{2} = m'' \times \frac{1}{2}$$

Hence, in all three cases, the claim holds.

**Theorem 1** *The utilization bound UB of NPS-F under the new bin-packing remains*

$$UB = \frac{2\delta + 1}{2\delta + 2} \times m$$

*Proof* Let  $S_1$  to  $S_{m''}$  be the servers output by the bin-packing. The key property for proving the utilization bound for the original NPS-F was that  $\bar{U} > 0.5$  where  $\bar{U} \stackrel{\text{def}}{=} \frac{1}{m''} \sum_{q=1}^{m''} U(S_q)$ . This property is still true with the new bin-packing (Lemma 1). Hence, the utilization bound remains unchanged. A proof sketch is provided hereafter. The schedulability condition (Eq. 1) can be written as  $\sum_{q=1}^{m''} I(U(S_q)) \leq m$ , where  $I(U(S_q)) \stackrel{\text{def}}{=} \frac{(\delta+1)U(S_q)}{U(S_q)+\delta}$ . From Th. 2 in [Bletsas and Andersson \(2011\)](#),

$\sum_{q=1}^{m''} I(U(S_q)) \leq m''I(\bar{U})$ . Hence, for schedulability, it suffices that  $m''I(\bar{U}) \leq m$  or equivalently  $m''\bar{U} \leq m \frac{\bar{U}}{I(\bar{U})}$ . Yet,  $\frac{\bar{U}}{I(\bar{U})}$  is strictly increasing over  $[0.5, 1]$  and from Lemma 1,  $\bar{U} > 0.5$ . Thus, substituting in the schedulability condition, we get  $m''\bar{U} \leq m \frac{0.5}{I(0.5)} = \frac{2\delta+1}{2\delta+2}m$ . Hence, a sufficient schedulability condition is that  $(m''\bar{U})$ , which corresponds to the task set utilization, is not greater than  $\frac{2\delta+1}{2\delta+2}m$ .

## 2.2 Number of migrating tasks

**Theorem 2** *If  $U \leq m$  then at most  $\max(0, \lceil 2 \times U \rceil - m - 1)$  tasks migrate.*

*Proof* If  $m'' \leq m$ , then the algorithm implies that no migrating tasks exist. Else if ( $m'' > m$ ), the bin-packing of Fig. 2 ensures that there are  $m$  non-migrating servers and  $(m'' - m)$  migrating servers with one task each. Because the total utilization  $U$  is given by the sum of the utilization of all servers, it holds by Lemma 1 that  $U > 0.5 \times m'' \Rightarrow m'' < 2 \times U$ . The number of migrating tasks  $n_{\text{migr}}$  is therefore  $(m'' - m) < 2 \times U - m$ . And because the number of migrating tasks is a non-negative integer but  $U$  is a real number, we get  $n_{\text{migr}} \leq \max(0, \lceil 2 \times U \rceil - m - 1)$ .

## 3 Conclusion

The alternative bin-packing eliminates the non-determinism in task migrations, while preserving all other aspects and useful theoretical properties of NPS-F. It also upper-bounds the number of migrating tasks *a priori* as a function of the task set utilization. Hence, the predictability and practical efficiency of NPS-F improve.

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